

HINDUSTAN APPLIANCES LIMITED

Reg. Off. : 1301, 13th Floor, Peninsula Business Park, Tower B, Senapati Bapat Marg,
Lower Parel (West), Mumbai 400013. **Email Id:** info.roc7412@gmail.com

Tel. No. 022 -30036565 | **Website:** www.hindustan-appliances.in

CIN: L18101MH1984PLC034857

Dated: 30th May, 2022

To,
Corporate Relationship Department,
BSE Limited
25th Floor, Rotunda Building
Dept. of Corporate Services
Phiroze Jeejeebhoy Towers,
M. S. Marg,
Mumbai – 400 001.

Stock Code – BSE Code No. 531918

Dear Sirs,

Sub: Annual Secretarial Compliance Report for the year ended 31st March, 2022

Pursuant to the Regulation 24A of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are forwarding herewith the Annual Secretarial Compliance Report for the financial year 2021-2022.

You are requested to kindly take the above information on record.

Thanking You,
Yours faithfully,

For HINDUSTAN APPLIANCES LIMITED

KALPESH SHAH
MANAGING DIRECTOR
DIN: 00294115

Encl as above

Secretarial compliance report of Hindustan Appliances Limited

for the year ended 31st March, 2022

We have examined:

- (a) all the documents and records made available to us and explanation provided by **Hindustan Appliances Limited CIN: L18101MH1984PLC034857** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity - www.hindustan-appliances.in
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include to the extent applicable to the Company:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (e) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Act and dealing with client.

and circulars/ guidelines issued there under;

and based on the above examination I hereby report that during the review period:



(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	Regulation 31(2) of SEBI (LODR) Regulations, 2015	The Company is non-compliant for dematerialization of Promoters shareholding.	No observations / Remarks
2	Regulation 29(2)/29(3) of SEBI (LODR) Regulations, 2015	Delay in furnishing prior intimation about the meeting of the board of directors, held on 14 th August, 2021	No observations / Remarks

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my/our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc	Observations/ remarks of the Practicing Company Secretary, if any.
1	BSE Limited	Regulation 29(2)/29(3) Delay in furnishing prior intimation about the meeting of the board of directors	BSE Ltd had levied vide their email to the Company dated 14 th September, 2021, a total fine of Rs. 11,800/- (basic fine Rs. 10,000/- + GST Rs. 1800/-) for delay in furnishing prior intimation about the meeting of the board of directors for the meeting held on August 14, 2021 for Financial Results.	No observations / Remarks



(d) The Listed entity has taken the following actions to comply with the observations made in previous reports: Not applicable

Note: The Board of Directors of the Company have proposed to proceed for voluntary delisting of equity shares of the Company. The matter is in process.

**For D.Kothari And Associates
Company Secretaries**


Dhanraj Kothari
Proprietor
FCS No. : 4930
CP No. : 4675



Place: Mumbai ,
Date: 28th May, 2022

UDIN: F004930D000421258
Peer Review Certificate no. 1314/2021